

present the following examples of what this initiative is not:

- Not basic research;
- Not technology development for pollution prevention, remediation, or control;
- Not diffusion of pollution control technology; and
- Not activities addressing processes to remove pollutants from waste streams or remediate waste problems.

Specific Selection Criteria

Proposals will be evaluated against the following factors:

- Does the project reduce uncertainty, improve flexibility, speed timing, enhance cost-effectiveness, address liability constraints, and/or diminish restraints on technology innovation?
- Is there broad applicability of the project's expected results (i.e., across levels of government, different states, or environmental media)? Is the problem clearly defined?
- Does the project complement current environmental legislative initiatives or significantly strengthen the Nation's ability to meet existing statutory or regulatory goals?
- Will the project produce measurable, visible results in an expeditious time-frame? Action projects will be emphasized over studies. Do project participants have the authority to implement programmatic changes?
- Does the project support multi-organizational partnerships across the public and private sectors? Will the project include leveraging funds among the partnering organizations? Applicant's proposals will be given more consideration to the extent that matching funds or in-kind services from participating partners are included.
- Does the proposal address global, transboundary, or other international environmental issues directly affecting the United States or lower the cost of innovative technologies for use in the United States?

In addition, the following considerations relate to particular subtopics:

- Policy framework proposals will be reviewed with respect to their capability to advance the goals and activities of ETI; breadth of applicability of the expected results; and potential to reduce barriers and create incentives; and projected probability of success.
- Proposals embracing the theme of innovation capacity should specifically be designed to be self-sustaining after ETI funds are expended.
- Domestic diffusion proposals must be customer-based, and should emphasize pollution prevention technology approaches. Special

consideration will be given to projects that support small businesses and/or small communities.

- International diffusion proposals should address global or international environmental issues that directly affect the United States. Proposals should also result in improving U.S. competitiveness and trade objectives in the international arena.

The Application

Application forms and instructions are available in the EPA Research Grants Application Kit. Interested investigators should review the materials in this kit before preparing an application for assistance. The kits can be obtained at the following address: U.S. Environmental Protection Agency, Office of Research and Development, Office of Exploratory Research (8703), 401 M Street, SW., Washington DC 20460.

Each application for assistance must consist of Application for Federal Assistance Forms (Standard Forms (SF): 424 and 424A), separate sheets that provide the budget breakdowns for each year of the project, the resumes of the principal investigator and co-workers, the abstract of the proposed project, and a project narrative. All certifications must be signed and included with the application.

The closing date for application submission has been extended to COB on Tuesday May 16, 1995. COB is 5 pm EDT in Washington, D.C.

To be considered, the original and eight copies of the fully developed research grant application, prepared in accordance with the instructions in the Application for Federal Assistance Forms, must be received by the EPA Office of Exploratory Research no later than the above closing date. Informal, incomplete, or unsigned proposals will not be considered. Completed applications should be sent via regular or express mail to: U.S. Environmental Protection Agency, Office of Research and Development, Office of Exploratory Research (8703), 401 M Street, SW., Washington DC 20460.

Applications sent via express mail should have the following telephone number listed on the express mail label: (202) 260-7445.

Special Instructions

The following special instructions apply to all applicants responding to this request for application.

- Applications must unbound and clipped or stapled. The SF-424 must be the first page of the application. Budget information should immediately follow the SF-424. All certification forms

should be placed at the end of the application.

- Applicants must be identified by printing "ETI95" in block 10 of the SF-424. This will facilitate proper assignment and review of the application.

- A one-page abstract must be included with the application.

- The "project narrative" section of the application must not exceed 25, consecutively numbered, 8 x 11 inch pages of standard type (i.e., 12 point), including tables, graphs, and figures. For purposes of this limitation, the "project narrative" section of the application consists of the following five items:

1. Description of Project
2. Objectives
3. Results or Benefits Expected
4. Approach
5. General Project Information

Any attachments, appendices, and other references for the narrative section may be included but must remain within the 25-page limitation. Appendices will not be considered an integral part of the application.

Items not included under the 25-page limitation are the SF-424 and other forms, budgets, resumes, and the abstract. Resumes must not exceed two consecutively-numbered pages for each investigator and should focus on education, positions held, and most recent or related publications.

Applications not meeting these requirements will be returned to the applicant without review.

Guidelines and Limitations

All recipients are required to provide a minimum of 1% of the total project cost, which may not be taken from Federal sources. All partnerships are encouraged. Primary partners are defined as contract awardees and secondary partners are those partners who do not receive grant funding directly from EPA. Subcontracts from primary partners to secondary partners for research to be conducted under this grant should not exceed 40% of the total direct cost of the grant for each year in which the subcontract is awarded.

Except for federal agencies and employees work may primary partners may subcontract work to any for-profit or not-for-profit organizations.

Eligibility

Not-for-profit institutions located within the U.S., including public and private colleges and universities, are eligible under all existing authorizations. Federal agencies and federal employees, as well as state and